

SIX Swiss Exchange message no. 04/2017

Subject	SWXess Maintenance Release 6 - Post Release (SMR6p) - Regulat	ions
Category	Regulation	
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Pages	2	
Date	17.01.2017	Information

Content of this message:

- Publication of the updated «SMR6p Participant Readiness» brochure
- Publication of the updated Directive 5: Trading without pre-trade transparency
- Rollout of SMR6p in the Production environment on 6 February 2017

In <u>SIX Swiss Exchange message no. 58/2016</u>, SIX Swiss Exchange informed Participants of the functional changes brought about by the SMR6 Post Release (SMR6p).

This message informs Participants about the publication of the updated directive for SMR6p.

Participant Readiness

The «<u>SMR6p – Participant Readiness</u>» brochure has been updated and now also contains detailed information on the regulatory impact of SMR6p.

More information on SMR6 Post Release is available on the dedicated <u>SWXess Maintenance Release (SMR)</u> website and on the <u>Member Section</u> of SIX Swiss Exchange.

Regulatory Change

Directive 5: Trading without pre-trade transparency has been updated with respect to SMR6p and is now available on the website of SIX Swiss Exchange.

A detailed list of the adjustments to the Directive for SMR6p can be found in chapter 5 of the «<u>SMR6p – Participant</u> <u>Readiness</u>» brochure as well as under the following link on the website of SIX Swiss Exchange:

http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html

Rollout

The introduction of SMR6p in the Production environment will take place on 6 February 2017.

Participants can continue to test the functional changes in the Membertest environment until the productive rollout of SMR6p. Please contact Member Services (<u>member.services@six-group.com</u> / +41 58 399 2473) if you require assistance with testing.

SIX Swiss Exchange is happy to be able to add value for its Participants with the implementation of SMR6p and the associated improvements.

T + 41 58 399 5454 F + 41 58 499 5455 www.six-swiss-exchange.com If you have any questions, please do not hesitate to contact Member Services:

Phone: +41 58 399 2473

E-mail: <u>member.services@six-group.com</u>

Links to SIX Swiss Exchange:

www.six-swiss-exchange.com | Member Section | Forms | Rules | Directives